

02-22-08

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PTO/SB/21 (04-07)

Approved for use through 09/30/2007. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/817,596

Filing Date

04-02-2004

First Named Inventor

MACLEOD, Euan Skinner

Art Unit

3723

Examiner Name

David A. Redding

Attorney Docket Number

0978-0025

**ENCLOSURES** (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>SEE REMARKS BELOW</b>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<b>Remarks</b>	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	- Supplemental Information Disclosure Statement	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	- Certificate of Mailing Under 37 CFR 1.10	
	- Return Receipt Postcard	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name Cook, Alex, McFarron, Manzo, Cummings &amp; Mehler, Ltd.

Signature

Printed name David Lesht

Date

February 21, 2008

Reg. No.

30,472

**CERTIFICATE OF TRANSMISSION/MAILING**I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: **EXPRESS MAILING LABEL NO.: EO 944667906 US**

Signature

Typed or printed name

Francisca M.A. Hubbard

Date

February 21, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# **FREE TRANSMITTAL** **For FY 2008**

FEB 21 2008

☐ Applicant claims small entity status. See 37 CFR 1.27

**AMOUNT OF PAYMENT** (\$180.00)

## **Complete If Known**

Application Number	10/817,596
Filing Date	04-02-2004
First Named Inventor	MACLEOD, Euan Skinner
Examiner Name	David A. Redding
Art Unit	3723
Attorney Docket No.	0978-0025

### **METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 50-1039 Deposit Account Name: Cook, Alex, et al.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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### **FEE CALCULATION**

#### **1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

#### **2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185
<b>Total Claims</b>		
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20		
<b>Indep. Claims</b>		
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3		

#### **3. APPLICATION SIZE FEE**

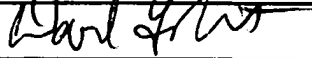
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fees Paid (\$)</b>
- 100 = _____	/50 = _____	(round up to a whole number) x _____	= _____	

#### **4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): Submission of an Information Disclosure Statement	180.00

#### **SUBMITTED BY**

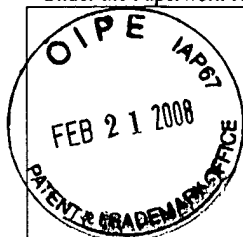
Signature 	Registration No. 30,472 (Attorney/Agent)	Telephone 312-984-0144
Name (Print/Type) David Lesht	Date February 21, 2008	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Certificate of Mailing Under 37 CFR 1.10**

Express Mail Label No. EO 944667906 US containing:  
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Disclosure Statement by Applicant (Form PTO/SB/08a) with references, Return Receipt Post Card

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on February 21, 2008  
Date

  
Signature

Francisca M. A. Hubbard  
Typed or printed name of person signing Certificate

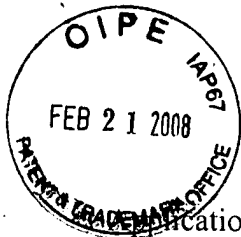
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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 10/817,596  
Confirmation No. : 3139  
Inventor : MACLEOD, Euan Skinner  
Filed : 04-02-2004  
TC/A.U. : 3723  
Examiner : David A. Redding  
Title : VACUUM CLEANER  
Docket No. : 0978-0025

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-identified application has been examined and stands allowed for issuance.

The following references are also called to the attention of the Patent and Trademark Office.

U.S. Patent Documents

Re. 32,257	Dyson	10-07-1986
6,572,078 B1	Thomson et al.	06-03-2003
2004/0060144 A1	Bowden et al.	04-01-2004

Foreign Patents/Publications

DE 19525794 A1 (with English abstract)	Woerwag	01-23-1997
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WO 99/30605  
(with English abstract)

Dyson

06-24-1999

JP 2002233483


Atsuo et al.

08-20-2002

Applicant encloses a PTO/SB/08A form identifying each of the above-listed references, and a copy of each foreign reference is also enclosed. This statement is provided in order to comply with 37 C.F.R. §1.56 and §1.97 et. seq. and this statement is not to be construed as a representation that these references are prior art or are material to the subject application or that no information exists which is more material than these references.

Respectfully submitted,

COOK, ALEX, McFARRON, MANZO,  
CUMMINGS & MEHLER, LTD.

By:   
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Dated: February 21, 2008